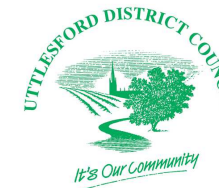
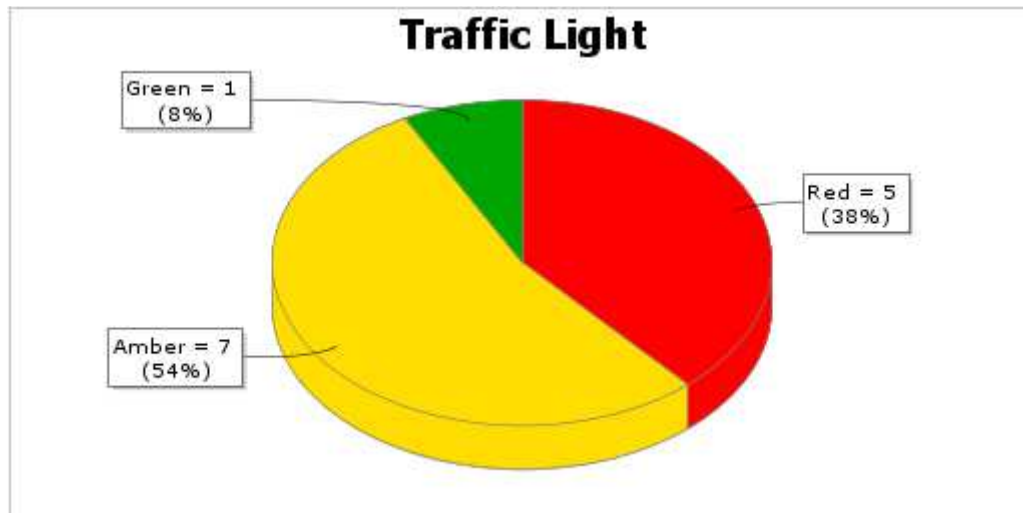




# Revised Corporate and Strategic Risk Register 2011-12 - Quarter 3







**Report Type:** Risks Report  
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Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note
12-CR-ENV-01 Affordable Housing	Failure to find a balance between the delivery of affordable housing, in order to meet the need for accommodation, and sourcing sites acceptable to the community	3	3	3	3	9		3	2	Adherence to the Core Strategy timetable coupled with consistent communication and community engagement. Strong political leadership to ensure adoption of Local Development	Shortfall in a demonstrable supply of land for housing within 5 years being addressed through Development Management processes. Engagement with ward members and local councils

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										Framework	to supplement work programme of LDF member working group. Neighbourhood plans being explored with interested local councils supported by external funding bids.
12-CR-ENV-02 Risk of increased recycling costs	Risk of increased costs due to fluctuating pricing structure for recyclable materials and increasing cost of landfill.	3	3	3	3	9		2	3	Regular monitoring of market to ensure the council realises the best value for money for recyclable materials	Procurement exercise with other interested Essex waste collection authorities to obtain best prices for co-mingled dry recyclables
12-CR-ENV-03 Lack of public engagement in recycling scheme	Public fails to engage in new recycling scheme resulting in recycling rate not increasing.	3	2	3	2	6		2	2	Continued communication with residents about the importance of recycling to further increase the district's recycling rate. Introduction of waste service improvements including garden waste collection	Communications plan being implemented. Incentives to early sign up for garden waste bins communicated. Expressions of interest being converted into payment arrangements.


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12-CR-ENV-04 Potential increase in environmental crime	Potential for more cases of environmental crime leading to increased pressures on enforcement and other service areas and possible complaints	3	2	3	2	6		2	2	Training for Enforcement officers and closer working with PCSO's leading to an increase in the number of fixed penalty notices issued	One officer has already received training on the issuing of FPNs. Policies and procedures have been drawn up. The Police have indicated a willingness to assist with CsOs on an ad hoc basis depending on police operational needs. Members have approved reduced penalties for prompt payment to improve the effectiveness of FPNs.
12-CR-ENV-05 Lack of available funds for Highways improvement	Little money available for Highways improvements due to pressures on County Council budget	3	3	3	3	9		2	3	Targeted improvements in district due to local member involvement in Highways Panel/Locality Board	Highways Panel now meeting. ECC putting in place arrangements for UDC to provide ranger service from 1/4/12 instead of contractor maximising

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											balance of ECC budget available for local highways improvements .
12-CR-FIN 01 Insufficient progress against savings	The council does not make sufficient progress against savings targets identified in the MTFS to achieve the necessary savings.	4	1	4	1	4		4	1	A Corporate Team was established in 2010. Savings achieved to date have been approx £1.8 million per annum	Additional savings identified and in the process of being delivered amount to an additional £1.1 million. Major projects currently being implemented include changes to the waste service, revenues and benefits partnership and replacement IT systems. Savings achieved to date now approx £1.8 million.
12-CR-FIN 02 External factors impact negatively on Council's finances	External factors, such as the reforms to local government finance, negatively impact on	3	3	3	3	9		2	2	Work with local government partners to share the risks and benefits of reform	Discussions are being held between Essex local authorities about opportunities for joint

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	Council's finances										working and risk sharing. The updated MTFS includes prudent assumptions, but there is huge uncertainty.
12-CR-PAR-01 Key partners unable to contribute to LSP	Key partners are unable to contribute to the LSP because of changes elsewhere in the public sector. The concept of the Big Society may be difficult to communicate	2	3	2	3	6		2	2	Optimise the Localism agenda and ensure that the Council retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure continued engagement with partners and the community through channels such as Citizens Panel, Community Forums and Tenant Forum. Review the working of the LSP to ensure it meets the needs of the	Following the Uttlesford Futures Assembly the Board met in January to set a new direction for the LSP and agreed to hold a workshop. There is commitment from all parties that the LSP should continue but that change is needed. West Essex work continues. The new Locality Board may impact on the functions of the LSP.

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										council, its partners and the community.	
12-CR-PEO-01 Failure to embed Equality & Diversity and H&S	Failure to embed sound equality & diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to then promote these ideals to the community	3	2	3	2	6		3	1	Necessary information available to all staff and regular training given	Steady progress being made in partnership with South Cambs DC. Officer champions appointed for each work stream. Equality Duty information required by 2010 Act was ready and published by 31 January deadline
12-CR-PEO-02 Failure to provide and implement a sound economic strategy	Failure to provide and implement a sound economic strategy which could lead to a failure to support existing businesses and attract new investment	3	2	3	2	6		3	1	Develop and implement a sound economic strategy in conjunction with West Essex partners and allocate budget to support this work	West Essex Alliance identified three early priorities for action at its meeting in October. Senior officer steering group of West Essex to progress Alliance actions. Budget proposals for staff resource.

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12-CR-PEO-03 Risk of adverse impact from reform of council tax benefits	The reform of council tax benefits could adversely impact some people currently in receipt of benefits.	3	2	3	2	6		2	2	Work with JobCentre Plus to promote change to system and maximise employment opportunities. Work with other Essex Councils to develop a single County-wide scheme to give continuity to claimants moving within the County.	The council is part of an Essex-wide group looking to develop a single scheme. Additional senior resource will be employed to implement the changes. 2013/14 MTFS assumptions are based on the council meeting the 10% funding shortfall.
11-SR-01 Disruption of Council business	Disruption of council business e.g. due to loss of building, widespread staff absence or extreme weather conditions	4	2	4	2	8		3	2	Ensure that emergency plans are in place to provide frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents.  Ensure relevant HR policies are in place and understood	Business Continuity Plan review 75% completed. Accommodation and updated media plan to be finalised. Two emergency Planning exercises have been carried out in the last quarter with two more planned for the next three months.

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11-SR-02 Risk of major emergency	Major emergency at the airport e.g. due to plane crash, terrorism etc.	2	1	2	1	2		2	1	Ensure that emergency plans are in place and that there is regular liaison with airport operator and engagement in emergency planning activities	Regular meetings between the EPO and the airport operator take place. EPO and other staff take part in emergency planning exercises at and involving the airport.